

## FUND DESIGNATION GUIDELINES

Approved Insurance Providers establish fund designations on a crop county policy basis by submitting a Type 9 record to the Data Acceptance System, ~~that is accepted~~. The Type 9 record contains the Primary, ~~Added County, Written Agreement~~ and High Risk Ground ~~fields and designation and flags~~ required to establish fund designation. The DAS system uses an ISAM file to maintain the edits and validation outlined in the SRA. Companies may modify the fund designation until the cutoff date for the crop county policy. The fund designation (Assigned Risk or Developmental), for the crop county policy submitted on the Type 14 record must match the fund designation established by the Type 9 record. A Commercial designation on the Type 14 does not require a Type 9 record.

Once a policy Type 9 record has been accepted with a designation (Development, Assigned Risk or Commercial), another Type 9 record is required to timely change the accepted designation. Once a policy key (IP, State, ~~Company~~, Policy number, crop year, county, crop) has been established into either the Developmental or Assigned Risk fund, the policy cannot be transferred to another fund after the cutoff date based on the accepted policy key.

~~A carryover policy designated to the Developmental fund can be moved to the Assigned Risk fund after the Developmental cutoff date but before the Assigned Risk cutoff date. These policies can be moved back to the Developmental fund, but not to the Commercial fund, by the Assigned Risk cutoff date.~~

For an eligible crop insurance contract (including continuous Written Agreements) the fund designation cutoff for Assigned Risk or Developmental is 30 days + Sales Closing Date.

Policies may be placed in the Assigned Risk ~~or Developmental~~ fund after the “normal” cutoff with a RMA written agreement extension ~~for the initial year or for any written agreement that must be renewed annually or approved annually, except for written unit agreements~~. The written agreement cutoff date is based on ~~the RMA Approval Date + 30 days. 30 days from the insured's signature date, if appropriate, or the written agreement date printed at the top of the RMA Written Agreement~~. The written agreement cutoff date is only required if it is after the sales closing date. ~~The SRA does not allow for a written agreement extension for the Developmental fund.~~

Approved Insurance Providers that use a ~~Multi Added~~ county or statewide application may timely designate one Type 9 record for a crop/county as a “Primary” fund record. Any subsequent counties that are added to the policy after the fund designation cutoff date, ~~may must~~ be accepted with the same fund as the primary record fund designation. For 2005, only category B crops (excluding Forage Production) qualify for ~~multi added~~ county. Subsequent counties can be added after fund designation cutoff if insured does not have an interest in any other crop in the added county. Other counties may be timely submitted to any fund and do not require any ~~multi added~~ county identification.

Approved Insurance Providers can place High Risk Land (CAT) excluded from Additional Coverage, with the same POLICY NUMBER into a different fund by using the High Risk CAT Fund field. (This is not required if both Additional and CAT will be placed into the same fund).

DAS will match the fund on the Type 14 record for the High Risk Land to the HRC fund flag on the Type 9 ISAM if the HRC fund is different than the primary fund. The Fund for the Type 14 for the Additional Coverage will match to the Primary fund on the Type 9 ISAM. If the High Risk Land that is excluded from Additional Coverage is a separate policy, the Primary Fund flag is used, not the High Risk CAT Fund flag on the Type 9 record. The fund designation on the 14 record will match to the Primary Fund designation on the 9 ISAM.

Approved Insurance Providers may report type and/or practice codes on the Type 9 record. Type and practice codes are validated to the ADM if submitted, (unless a written agreement has been issued). Fund designation records must be submitted for each type of grapes if the grapes are insured by type (CA 0052 & 0053, AZ 0052). DAS will match the Assigned Risk or Development fund designations on the individual Type 14 records to the individual Type 9 ISAM by the policy key including the ADM type code.

If multiple sales closing dates exist for a crop county, Approved Insurance Providers may submit valid ADM type or practice codes to designate the correct sales closing date.. DAS will validate the codes and use the corresponding sales closing date to determine the cutoff date for the fund. When multiple sales closing dates exist for a crop county and the company does not submit the ADM type and practice codes, DAS will use the earliest sales closing date for the crop plan county to determine the cutoff date. If a type or practice code is submitted which corresponds to a later date, i.e. spring in a winter/spring county, companies can only report the spring type on the acreage record.

Nursery and Florida Fruit Tree policies are an exception to the above fund designation rules. For new Nursery and new Florida Fruit Tree policies, the company must designate contracts to the Assigned Risk or Developmental Fund not later than the transaction cutoff date for the week including the 30<sup>th</sup> calendar day after the signature date on the type 9 record. For carryover Nursery or Florida Fruit Tree policies not later than 30 days ~~after the cancellation date for the previous year.~~ ~~Contract Change Date previous years end of insurance period.~~

~~For crops with a sales period, a carryover policy is one in which the entity had insurance on the same crop and plan code with the same company in the state and county the previous year. The DAS validation for a new/carryover policy, is limited to the policy key (IP, State, Policy number, county, crop and plan code). and only types for grapes insured by types). It searches the companies previous year's 14 ISAM for the same policy key. If a match is found for a policy that is 'current' (not deleted), the policy is considered carryover. If the same crop/county policy is submitted with a different or new plan code, it will be considered a new policy for fund designation. The fund rules for a new policy would then apply and a new Type 9 record would be required.~~

~~If RMA approves alternate crops AIP's may designate eligible CAT crop insurance contracts to the assigned risk fund 60 days after RMA approval.~~

## FUND DESIGNATION CUTOFF DATE AND FUND RULES

<u>FUND</u>	<u>NEW/CARRYOVER POLICY</u>	<u>CUTOFF* (LOCKDOWN) DATE</u>	<u>DAS RULE</u>
Development	New (0)	SCD	D-1
	Carryover (1)	CCD	D-1
	Carryover (1)	SCD	D-3
	Carryover (1)	N/A	D-4
Assigned Risk	New (0)/Carryover (1)	SCD	A-1
	New (0)/Carryover (1)	N/A	A-2
	New (0)/Carryover (1)	WA	A-3
	Carryover (1)	SCD	A-4
	Carryover (1)	N/A	A-5
Commercial	New (0)/Carryover (1)	N/A	C-1
	Carryover (1) (Dev)	CCD	C-1
	New (0) (Dev) or New(0)/		
	Carryover(1)(AR)	SCD	C-1

WA= Written Agreement Date

SCD= Sales Closing Date

CCD= Contract Change Date

N/A= Not Applicable

~~\*Cutoff determined using applicable date identified. Cutoff is 30 days past this date to transaction cutoff for the week.~~

## FUND DESIGNATION CUTOFF DATES

<u>FUND</u>	<u>NEW/CARRYOVER POLICY</u>	<u>FUND CUTOFF (LOCKDOWN) DATE</u>
Assigned Risk or Developmental for Crops with Fixed Sales Closing Dates and for Continuous Written Agreements	New (CFF = 0) <del>Carryover (CFF = 1)</del> N/A	SCD + 30 days <del>SCD</del>
Assigned Risk or Developmental for Crops with Initial Year Written Agreement	New (CFF = 0) N/A	WA date + 30 days
<del>Assigned Risk or Developmental for Crops with Continuous Written Agreement</del>	<del>Carryover (CFF = 1)</del>	<del>SCD</del>
Assigned Risk or Developmental for Crops with Sales Period	New (CFF = 0)  Carryover (CFF = 1)	Insured Sign. date + 30 days <del>Previous years end of Insurance Period + 30 days</del>
Assigned Risk for Alternate CAT Policies	NA	Cancellation Date for the previous year + 30 days  RMA approval date + 30 days
Commercial	New (CCF = 0) <del>Carryover (CCF = 1)</del> N/A	N/A N/A

WA = Written Agreement Date  
 SCD = Sales Closing Date  
 CFF = Contract Fund Flag  
 N/A = Not Applicable